

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ápplicant:

Robert A. MacDonald

Attorney Docket: KEY1017USC1

Serial No.:

10/601,051

Group Art Unit: 3673

Filed:

June 20, 2003

Examiner: Jong Suk Lee

For:

RETAINING WALL BLOCK SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22323-1450

Dear Sir:

Pursuant to Sections 1.56, 1.97, and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with Applicants' duty of disclosure, as well as the duty of others substantively involved in the preparation of the above-titled application.

No item of information cited herein was cited in a communication from a foreign patent office in a counterpart foreign application and, in accordance with § 197(e)(2) of the Code of Federal Regulations, to the knowledge of the undersigned after having made reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(e) more than three months prior to the filing of this Information Disclosure Statement. More specifically, the cited information comprises an Answer dated September 24, 2004 served by Defendant E.P. Henry

Certificate of Express Mailing (37 C.F.R. § 1.10)

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Mailing Label No. <u>EV 532431694 US</u> in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: November 10, 2004

Signature:

Name: Jodi Jung

11/15/2004 AWDNDAF1 00000153 10601051

01 FC:1806

180.00 OP

Supplemental Information Disclosure Statement Attorney Docket: KEY1017USC1

Applicant: Robert A. MacDonald

Serial Number: 10/601,051

Corporation in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey. In that litigation Keystone Retaining Wall Systems, Inc., the assignee of the present application, has alleged that products made by the Defendant infringe U.S. Patent Nos. 6,147,352 and 6,637,981. The '352 and '981 patents and the present application are members of the same patent family sharing a common disclosure and claiming priority to an application originally filed February 11, 1999.

The Answer cited herein contains an allegation that the '352 and '981 patents are invalid under 35 U.S.C. § 102 and/or § 103 but includes no specific reasons or grounds to support the allegation. Applicant believes, however, based on prior communications with Defendant that the allegations of invalidity are based upon references already cited and considered by the Examiner in the prosecution of this application including the following: U.S. Patent No. 5,622,456; Canadian Patent No. 2,193,942 and Creta Product Brochure dated April 15, 1996.

The Applicant and others substantively involved in the preparation of the application are aware of the document, cited on the enclosed PTO-1449 form, and have enclosed a copy of the listed document.

The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by the Applicant and others substantively involved in the preparation of the present application pursuant to their duty under 37 C.F.R. § 1.56.

Applicant reserves the right to show, pursuant to 37 C.F.R. § 1.131 or otherwise, that any one or more of the documents cited on the PTO-1449 form is not prior art to the present invention.

The fee of \$180.00 is enclosed (under 37 C.F.R. § 1.17(p)).

Supplemental Information Disclosure Statement

Applicant: Robert A. MacDonald

Serial Number: 10/601,051

Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider the listed document and initial and return to the undersigned a copy of the enclosed PTO-1449 form.

It is believed that no additional fees are due in connection with this submission. However if this is incorrect, please charge any additional fees to Deposit Account No. 16-2312.

Respectfully submitted,

Dated: 11/10/04

By

Customer No. 009561

Terry L. Wiles, Esq. (29,989)

Patrick J. O'Connell, Esq. (33,984)

Attorney Docket: KEY1017USC1

Cecilia M. Jaisle, Esq. (28,824)

POPOVICH, WILES &

O'CONNELL, P.A.

650 Third Avenue South, Suite 600

Minneapolis, MN 55402

Telephone: (612) 334-8989

Attorneys for Applicants

Sheet	1	of	1	

WIN 1 0 2004 STATEMENT BY APPLICANT Robert A. MacDonald FILING DATE June 20, 2003 JOST STATEMENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE IF APPROPRIATE FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).	OVERNM	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE								ATTY. DOCKET NO. SERIAL NO.					
Woderf.A. MacLonald FILING DATE June 20, 2003 U.S. PATENT DOCUMENTS EXAMINER INTIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE IF APPROFRIATE FILING DATE IF APPROFRIATE FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).	4 0 20	NFORMATION DISCLOSURE									KEY1017USC1 10/601,051				
U.S. PATENT DOCUMENTS EXAMINER	NOA 1 0 50	WIN 1 U COSTATEMENT BY APPLICANT									Robert A. MacDo	nald			
U.S. PATENT DOCUMENTS EXAMINER	THE STATE OF THE S	29	Úse :	sever	al she	eets	if nec	essa	ary)						
U.S. PATENT DOCUMENTS EXAMINER	TO A DEN	TO A DIEMARK									June 20, 2003	3673			
FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS APPROPRIATE									U.S. PAT	ENT					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).		EXAMINER DOCUMENTALIMBER DATE									NAME	CLASS	SUBCLASS		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).										ļ	<u>. </u>				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).					_					ļ					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).										—					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).				_										ļ	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).					_					-					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).										-				<u> </u>	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).							-			+-		_			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).		\vdash	\dashv		\dashv					-					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).										-			<u> </u>		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).		LL						F	OREIGN P	ATE	NT DOCUMEN	ITS	_ 	L	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).									DATE		COUNTRY	CLASS	SUBCLASS		
Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															
Answer of Defendant in Civil Action No. 1:04-cv-02986-FLW-AMD, United States District Court for the District of New Jersey, dated September 24, 2004 (3 pages).															L
District Court for the District of New Jersey, dated September 24, 2004 (3 pages).		0	THE	ER [000	UM	IEN [.]	TS	(Including	, Autl	nor, Title, Date	e, Pertiner	nt Pages, E	tc.)	
District Court for the District of New Jersey, dated September 24, 2004 (3 pages).			Τ	TA	nsw	er o	f De	fend	dant in Civil	Actio	n No. 1:04-cv-0	2986-FLW-	AMD, United	States	
			_	_						·-·					
			\perp												
			1_	_									-		
EXAMINER DATE CONSIDERED	EXAMINE	<u> </u>	<u>l</u>	l					-	T	DATE CONSIDE	RED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.